# STIC Biotechnology Systems Branch

# RAW SEQUENCE LISTING ERROR REPORT



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:  $\frac{10/517,544A}{50 \text{ Urce}}$ Date Processed by STIC:  $\frac{2/7/07}{2}$ 

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
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- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

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http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<a href="http://www.uspto.gov/ebc/efs/downloads/documents.htm">http://www.uspto.gov/ebc/efs/downloads/documents.htm</a>, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05):
   U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street,
   Alexandria, VA 22314

Revised 01/10/06



IFW16

RAW SEQUENCE LISTING DATE: 02/07/2007 PATENT APPLICATION: US/10/517,544A TIME: 11:41:01

Input Set : N:\efs\02 07 07 \10517544a efs\285978SubstituteSequenceListing.txt

Output Set: N:\CRF4\02072007\J517544A.raw

3 <110> APPLICANT: RIKEN

4 KABUSHIKI KAISHA DNAFORM

6 <120> TITLE OF INVENTION: Method for utilizing the 5'end of mRNA for cloning and analysis

8 <130> FILE REFERENCE: 1336(PCT) [035576/285978]

C--> 10 <140> CURRENT APPLICATION NUMBER: US/10/517,544A

C--> 10 <141> CURRENT FILING DATE: 2005-06-09

10 <150> PRIOR APPLICATION NUMBER: JP 2002-171851

11 <151> PRIOR FILING DATE: 2002-06-12

13 <150> PRIOR APPLICATION NUMBER: JP 2002-235294

14 <151> PRIOR FILING DATE: 2002-08-12

16 <160> NUMBER OF SEQ ID NOS: 119

18 <170> SOFTWARE: PatentIn version 3.1

Does Not Comply Corrected Diskette Needed

see pp 1-4

# ERRORED SEQUENCES

1901 <210> SEQ ID NO: 119

1902 <211> LENGTH: 68

1903 <212> TYPE: DNA

1904 <213> ORGANISM: Artificial Sequence

1906 <220> FEATURE:

1907 <223> OTHER INFORMATION: DNA fragment for concatemer production

W--> 1909 <221> NAME/KEY: misc\_feature & sup. 2 for explanation

1910 <222> LOCATION: (1)...(68)

1911 <223> OTHER INFORMATION: n = any nucleotide

W--> 1913 <400> 119

W--> 1914 cttaagnnnn nnnnnnnnn nnnnncaacc tggatccagg ttgnnnnnn nnnnnnnnn 60 1915 nncttaaq

E--> 1916/35

 $E--> 1919 \begin{pmatrix} 34\\ 8--> 1925 \begin{pmatrix} 3\\ 3 \end{pmatrix}$ 

RAW SEQUENCE LISTING ERROR SUMMARY PATENT APPLICATION: US/10/517,544A

DATE: 02/07/2007 TIME: 11:41:02

Input Set : N:\efs\02\_07\_07 \10517544a efs\285978SubstituteSequenceListing.txt

Output Set: N:\CRF4\02072007\J517544A.raw

Invalid <213> Response:

Use of "Artificial" only as "<213> Organism" response is incomplete, per 1.823(b) of New Sequence Rules. Valid response is Artificial Sequence.

Seq#:1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18,19,20,21,22,23,24,25,26,27 Seq#:29,30,31,32,39,34,35,36,37,38,39,40,41,42,43,44,45,46,47,48,49,50,51,52 Seq#:53,54,55,56,57,58,59,60,61,62,63,64,65,66,67,68,69,70,71,72,73,74,75

#### Use of <220> Feature(NEW RULES):

Sequence(s) are missing the <220> Feature and associated headings.
Use of <220> to <223> is MANDATORY if <213> ORGANISM is "Artificial Sequence" or "Unknown". Please explain source of genetic material in <220> to <223> section (See "Federal Register," 6/01/98, Vol. 63, No. 104,pp.29631-32) (Sec.1.823 of new Rules)

Seq#:78,80,87,88,89,90,94,96,98,100,102,103,104,105,106,107,108,109,110,111 Seq#:112,113,114,115,116,117,118/119

see p. 3 for sample

2/7/2007

<211> 68 <212> DNA <213> Artificial Sequence I kneet a 22207 before the additional "Feature" <220> <223> DNA fragment for concatemer production <2207-<221> misc\_feature <222> (1)...(68) <223> n = any nucleotide additional <400> 119 cttaagnnnn nnnnnnnnn nnnnncaacc tggatccagg ttgnnnnnnn nnnnnnnnn 60 nncttaag 68 Feature Section

<210> 119

this type
of ever appears
in many sequences.
See p. 2 for
the sequence ID
numbers.

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<210> 80
<211> 13
<212> DNA
<213> aftifical Artificial Sequence

Light <2207
<400> 80
cctaggtccg acg

(see p. 2)

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## VERIFICATION SUMMARY

PATENT APPLICATION: US/10/517,544A

DATE: 02/07/2007 TIME: 11:41:02

Input Set : N:\efs\02\_07\_07 \10517544a\_efs\285978SubstituteSequenceListing.txt

Output Set: N:\CRF4\02072007\J517544A.raw

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L:10 M:270 C: Current Application Number differs, Replaced Current Application No
L:10 M:271 C: Current Filing Date differs, Replaced Current Filing Date
L:42 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:1 after pos.:60
L:60 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:2 after pos.:0
L:78 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:3 after pos.:0
L:132 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:7 after pos.:0
L:180 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:10 after pos.:0
L:198 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:11 after pos.:0
L:216 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:12 after pos.:0
L:252 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:14 after pos.:0
L:276 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:15 after pos.:0
L:312 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:17 after pos.:0
L:342 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:19 after pos.:0
L:360 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:20 after pos.:0
L:478 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:28 after pos.:420
L:498 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:29 after pos.:0
L:522 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:30 after pos.:0
L:1044 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:73 after pos.:0
L:1081 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:76, Line#:1079 L:1254 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
L:1259 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:78
L:1260 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:78 after pos.:0
M:341 Repeated in SeqNo=78
L:1286 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:80
L:1289 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ#:80, <213>
ORGANISM: Artificial Sequence
L:1289 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:80, <213>
ORGANISM: Artificial Sequence
L:1289 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:80,Line#:1289
L:1373 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
L:1377 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:87
L:1378 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:87 after pos.:0
M:341 Repeated in SeqNo=87
L:1398 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
L:1402 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:88
L:1403 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:88 after pos.:0
M:341 Repeated in SeqNo=88
L:1423 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
L:1427 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:89
L:1428 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:89 after pos.:0
M:341 Repeated in SeqNo=89
L:1448 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
L:1452 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:90
L:1453 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:90 after pos.:0
M:341 Repeated in SeqNo=90
L:1510 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
L:1514 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:94
L:1515 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:94 after pos.:0
L:1538 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
L:1542 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:96
```

DATE: 02/07/2007

TIME: 11:41:02

#### VERIFICATION SUMMARY

PATENT APPLICATION: US/10/517,544A

Input Set : N:\efs\02\_07\_07 \10517544a\_efs\285978SubstituteSequenceListing.txt

Output Set: N:\CRF4\02072007\J517544A.raw

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L:1543 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:96 after pos.:0
L:1566 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
L:1570 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:98
L:1571 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:98 after pos.:0
L:1594 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
L:1598 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:100
L:1599 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:100 after pos.:0
L:1622 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
L:1626 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:102
L:1627 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:102 after pos.:0
L:1639 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
L:1643 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:103
L:1644 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:103 after pos.:0
L:1656 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
L:1660 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:104
L:1661 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:104 after pos.:0
L:1673 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
L:1677 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:105
L:1678 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:105 after pos.:0
L:1690 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
L:1694 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:106
L:1695 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:106 after pos.:0
L:1707 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
L:1711 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:107
L:1712 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:107 after pos.:0
L:1724 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
L:1728 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:108
L:1729 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:108 after pos.:0
L:1741 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
L:1745 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:109
L:1746 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:109 after pos.:0
L:1758 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
L:1762 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:110
L:1763 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:110 after pos.:0
M:341 Repeated in SeqNo=110
L:1775 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
L:1779 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:111
L:1780 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:111 after pos.:0
M:341 Repeated in SeqNo=111
L:1792 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
L:1796 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:112
L:1797 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:112 after pos.:0
L:1809 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
L:1813 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:113
L:1814 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:113 after pos.:0
L:1826 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
L:1830 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:114
L:1831 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:114 after pos.:0
L:1842 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
```

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DATE: 02/07/2007

TIME: 11:41:02

## VERIFICATION SUMMARY

PATENT APPLICATION: US/10/517,544A

Input Set : N:\efs\02\_07\_07 \10517544a efs\285978SubstituteSequenceListing.txt

Output Set: N:\CRF4\02072007\J517544A.raw

L:1846 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:115 L:1847 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:115 after pos.:0 L:1858 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order! L:1862 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:116 L:1863 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:116 after pos.:0 L:1875 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order! L:1879 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:117 L:1880 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:117 after pos.:0 L:1892 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order! L:1896 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:118 L:1897 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:118 after pos.:0 M:341 Repeated in SeqNo=118 L:1909 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order! L:1913 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:119 L:1916 M:254 E: No. of Bases conflict, this line has no nucleotides. M:254 Repeated in SeqNo=119